HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS

Non-Discrimination Statement and Multi-Language Interpreter Services information are located at the end of this document.

Coverage for services, procedures, medical devices and drugs are dependent upon benefit eligibility as outlined in the member's specific benefit plan. This Medical Coverage Guideline must be read in its entirety to determine coverage eligibility, if any.

This Medical Coverage Guideline provides information related to coverage determinations only and does not imply that a service or treatment is clinically appropriate or inappropriate. The provider and the member are responsible for all decisions regarding the appropriateness of care. Providers should provide BCBSAZ complete medical rationale when requesting any exceptions to these guidelines.

The section identified as “Description” defines or describes a service, procedure, medical device or drug and is in no way intended as a statement of medical necessity and/or coverage.

The section identified as “Criteria” defines criteria to determine whether a service, procedure, medical device or drug is considered medically necessary or experimental or investigational.

State or federal mandates, e.g., FEP program, may dictate that any drug, device or biological product approved by the U.S. Food and Drug Administration (FDA) may not be considered experimental or investigational and thus the drug, device or biological product may be assessed only on the basis of medical necessity.

Medical Coverage Guidelines are subject to change as new information becomes available.

For purposes of this Medical Coverage Guideline, the terms "experimental" and "investigational" are considered to be interchangeable.

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HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Description:

Myelodysplastic syndromes (MDS) and myeloproliferative neoplasms (MPN) refer to a heterogeneous group of clonal hematopoietic disorders with the potential to transform into acute myelocytic leukemia. Allogeneic hematopoietic cell transplantation (allo HCT has been proposed as a curative treatment option for individuals with these disorders.

The myeloid neoplasms are categorized according to criteria developed by the World Health Organization (WHO). They are risk-stratified according to the International Prognostic Scoring System (IPSS).

2008 WHO Classification Scheme for Myeloid Neoplasms

1. Acute myeloid leukemia
2. Myelodysplastic syndromes (MDS)
3. Myeloproliferative neoplasms (MPN)
   3.1 Chronic myelogenous leukemia
   3.2 Polycythemia vera
   3.3 Essential thrombocythemia
   3.4 Primary myelofibrosis
   3.5 Chronic neutrophilic leukemia
   3.6 Chronic eosinophilic leukemia, not otherwise categorized
   3.7 Hypereosinophilic leukemia
   3.8 Mast cell disease
   3.9 MPNs, unclassifiable
4. MDS/MPN
   4.1 Chronic myelomonocytic leukemia
   4.2 Juvenile myelomonocytic leukemia
   4.3 Atypical chronic myeloid leukemia
   4.4 MDS/MPN, unclassifiable
5. Myeloid neoplasms associated with eosinophilia and abnormalities of PDGFRA, PDGFRB, or FGFR1
   5.1 Myeloid neoplasms associate with PDGFRA rearrangement
   5.2 Myeloid neoplasms associate with PDGFRB rearrangement
   5.3 Myeloid neoplasms associate with FGFR1 rearrangement (8p11 myeloproliferative syndrome)

2008 WHO Classification of MDS

1. Refractory anemia (RA)
2. RA with ring sideroblasts (RARS)
3. Refractory cytopenia with multilineage dysplasia (RCMD)
4. RCMD with ring sideroblasts
5. RA with excess blasts 1 and 2 (RAEB 1 and 2)
6. Del 5q syndrome
7. Unclassified MDS
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Description: (cont.)

Hematopoietic Cell Transplantation (HCT):
Hematopoietic stem cells form blood and immune cells. HCT is a procedure in which hematopoietic stem cells are infused into a recipient with deficient bone marrow function. Bone marrow stem cells may be obtained from the transplant recipient (autologous HCT) or a donor (allogeneic HCT). They can be harvested from bone marrow, peripheral blood, or umbilical cord blood and placenta shortly after a delivery. HCT may also be referred to as bone marrow transplant (BMT) or stem cell transplantation (SCT).

High-Dose Chemotherapy (HDC):
HDC is the administration of myelotoxic agents at doses sufficient to cause bone marrow failure. Myeloablative chemotherapy eradicates cancerous cells from the blood and bone marrow and inhibits the immune response against the donor bone marrow. HDC may be given with or without total body radiation.

Nonmyeloablative Chemotherapy with Allogeneic Hematopoietic Cell Transplantation (HCT):
Nonmyeloablative or reduced-intensity conditioning (RIC) is the administration of a lower dose of chemotherapy that is sufficient to eradicate the hematopoietic cells but does not completely destroy the bone marrow. RIC regimens attempt to reduce adverse effects secondary to bone marrow toxicity and allow for relatively prompt hematopoietic recovery. Nonmyeloablative chemotherapy may also be referred to as RIC, “mini transplant” or “transplant lite”.

Donor Types:

- Allogeneic: From a third-party donor
- Autologous: From an individual’s own bone marrow and/or circulating blood

Definitions:

Refractory/Primary Refractory:
The disease is resistant to treatment and does not achieve a complete remission.

Relapse:
The recurrence of a disease or symptoms after apparent recovery.
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Criteria:

All stem cell transplants will be reviewed by the medical director(s) and/or clinical advisor(s).

Pretransplantation Evaluation:

Human Leukocyte Antigen (HLA) typing and Matched Unrelated Donor (MUD) searches may be approved by the coordinator if medical necessity criteria are met. HLA typing may use serologic tissue and/or DNA (gene) for more precise matching.

The psychosocial criteria listed below must only be met prior to the actual transplant procedure.

- Pretransplantation evaluation with documentation of ALL of the following:
  1. Psychosocial screen with documentation of ALL of the following:
     - Drug/alcohol screen with documentation of ONE of the following:
       - No drug/alcohol abuse by history
       - Drug and alcohol free for a period greater than or equal to 6 months
     - Behavioral health disorder screening with documentation of ONE of the following:
       - No behavioral health disorder by history and physical exam
       - Behavioral health disorder treated
  2. Adequate social/family support
  3. Performance status with documentation of ONE of the following:
     - Karnofsky score greater than or equal to 70%
     - Eastern Cooperative Oncology Group (ECOG) score 0-2
     - For ages 10 or under: Lansky Play score greater than or equal to 70. A Lansky Play score less than 70 will be reviewed by the medical director(s) and/or clinical advisor(s).
     - Intermediate/high-risk disease stage by International Prognostic Scoring System (IPSS)
     - Refer to the IPSS Score in this guideline.

1 Performance status tables are located at the end of the Criteria section.
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Criteria: (cont.)

- Myeloablative allogeneic hematopoietic cell transplantation (allo HCT) for the treatment of myelodysplastic syndromes or myeloproliferative neoplasms is considered medically necessary with documentation of the pretransplantation evaluation criteria above.

- Reduced-intensity conditioning allo HCT for the treatment of myelodysplastic syndromes or myeloproliferative neoplasms in individuals who for medical reasons would be unable to tolerate a myeloablative conditioning regimen is considered medically necessary.

- Myeloablative allo HCT or reduced-intensity conditioning allo HCT for myelodysplastic syndromes or myeloproliferative neoplasms for all other indications not previously listed or if above criteria not met is considered experimental or investigational based upon:
  1. Lack of final approval from the Food and Drug Administration, and
  2. Insufficient scientific evidence to permit conclusions concerning the effect on health outcomes, and
  3. Insufficient evidence to support improvement of the net health outcome, and
  4. Insufficient evidence to support improvement of the net health outcome as much as, or more than, established alternatives, and
  5. Insufficient evidence to support improvement outside the investigational setting.

Although specific transplantation procedures may be considered experimental or investigational and therefore not eligible for coverage under standard medical benefits, these procedures may be eligible for coverage based upon Arizona Revised Statutes §20-2326 concerning Cancer Clinical Trials.
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Criteria: (cont.)

Performance Status Tables:

Eastern Cooperative Oncology Group (ECOG) Score (Also known as Zubrod Score):

<table>
<thead>
<tr>
<th>Score</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Asymptomatic. Fully active, able to carry on all pre-disease performance without restriction.</td>
</tr>
<tr>
<td>1</td>
<td>Symptomatic, fully ambulatory. Restricted in physically strenuous activity but able to carry out work of a light or sedentary nature.</td>
</tr>
<tr>
<td>2</td>
<td>Symptomatic, in bed less than 50% of the day. Capable of all self-care but unable to carry out any work activities.</td>
</tr>
<tr>
<td>3</td>
<td>Symptomatic, in bed or chair more than 50% of the day but not bedridden. Capable of only limited self-care.</td>
</tr>
<tr>
<td>5</td>
<td>Dead</td>
</tr>
</tbody>
</table>

International Prognostic Scoring System (IPSS):

<table>
<thead>
<tr>
<th>Prognostic Variable</th>
<th>Score 0</th>
<th>Score 0.5</th>
<th>Score 1.0</th>
<th>Score 1.5</th>
<th>Score 2.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bone Marrow Blast percentage</td>
<td>&lt;5</td>
<td>5-10</td>
<td>11-20</td>
<td>21-30</td>
<td></td>
</tr>
<tr>
<td>Karyotype</td>
<td>Good</td>
<td>Intermediate</td>
<td>Poor</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cytopenias</td>
<td>0/1</td>
<td>2/3</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Prognosis</th>
<th>Score</th>
<th>IPSS Group</th>
<th>Median Survival (Years)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Low</td>
<td>5.7</td>
<td></td>
</tr>
<tr>
<td>0.5-1.0</td>
<td>Intermediate-1</td>
<td>3.5</td>
<td></td>
</tr>
<tr>
<td>1.5-2.0</td>
<td>Intermediate-2</td>
<td>1.2</td>
<td></td>
</tr>
<tr>
<td>&gt;2.5</td>
<td>High</td>
<td>0.4</td>
<td></td>
</tr>
</tbody>
</table>
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Criteria: (cont.)

Performance Status Tables: (cont.)

Karnofsky Performance Score:

<table>
<thead>
<tr>
<th>Score</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>100%</td>
<td>Able to carry on normal activity, no evidence of disease.</td>
</tr>
<tr>
<td>90%</td>
<td>Able to carry on normal activity, minor signs or symptoms of disease.</td>
</tr>
<tr>
<td>80%</td>
<td>Normal activity with effort, some signs and symptoms of disease.</td>
</tr>
<tr>
<td>70%</td>
<td>Cares for self, unable to carry on normal activity or to work.</td>
</tr>
<tr>
<td>60%</td>
<td>Requires occasional assistance from others but able to care for most needs</td>
</tr>
<tr>
<td>50%</td>
<td>Requires considerable assistance from others and frequent medical care</td>
</tr>
<tr>
<td>40%</td>
<td>Disabled, requires special care and assistance.</td>
</tr>
<tr>
<td>30%</td>
<td>Severely disabled, hospitalization indicated, death not imminent.</td>
</tr>
<tr>
<td>20%</td>
<td>Very sick, hospitalization indicated, active support treatment necessary.</td>
</tr>
<tr>
<td>10%</td>
<td>Moribund</td>
</tr>
<tr>
<td>0%</td>
<td>Dead</td>
</tr>
</tbody>
</table>

Lansky Play Score (Also known as Lansky Play - Performance Scale):

<table>
<thead>
<tr>
<th>Score</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>Fully active, normal.</td>
</tr>
<tr>
<td>90</td>
<td>Minor restrictions in physically strenuous activity</td>
</tr>
<tr>
<td>80</td>
<td>Active, but tires more quickly.</td>
</tr>
<tr>
<td>70</td>
<td>Both greater restriction of and less time spent in play activity</td>
</tr>
<tr>
<td>60</td>
<td>Up and around, but minimal active play; keeps busy with quieter activities.</td>
</tr>
<tr>
<td>50</td>
<td>Gets dressed but lies around much of the day, no active play but able to participate in all quiet play and activities.</td>
</tr>
<tr>
<td>40</td>
<td>Mostly in bed; participates in quiet activities.</td>
</tr>
<tr>
<td>30</td>
<td>In bed; needs assistance even for quiet play.</td>
</tr>
<tr>
<td>20</td>
<td>Often sleeping; play entirely limited to very passive activities.</td>
</tr>
<tr>
<td>10</td>
<td>No play; does not get out of bed.</td>
</tr>
<tr>
<td>0</td>
<td>Unresponsive</td>
</tr>
</tbody>
</table>
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Resources:

Literature reviewed 02/20/18. We do not include marketing materials, poster boards and non-published literature in our review.

The BCBS Association Medical Policy Reference Manual (MPRM) policy is included in our guideline review. References cited in the MPRM policy are not duplicated on this guideline.

HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Non-Discrimination Statement:

Blue Cross Blue Shield of Arizona (BCBSAZ) complies with applicable Federal civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability or sex. BCBSAZ provides appropriate free aids and services, such as qualified interpreters and written information in other formats, to people with disabilities to communicate effectively with us. BCBSAZ also provides free language services to people whose primary language is not English, such as qualified interpreters and information written in other languages. If you need these services, call (602) 864-4884 for Spanish and (877) 475-4799 for all other languages and other aids and services.

If you believe that BCBSAZ has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability or sex, you can file a grievance with: BCBSAZ’s Civil Rights Coordinator, Attn: Civil Rights Coordinator, Blue Cross Blue Shield of Arizona, P.O. Box 13466, Phoenix, AZ 85002-3466, (602) 864-2288, TTY/TDD (602) 864-4823, crc@azblue.com. You can file a grievance in person or by mail or email. If you need help filing a grievance BCBSAZ’s Civil Rights Coordinator is available to help you. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Avenue SW., Room 509F, HHH Building, Washington, DC 20201, 1–800–368–1019, 800–537–7697 (TDD). Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html

Multi-Language Interpreter Services:

Spanish: Si usted, o alguien a quien usted está ayudando, tiene preguntas acerca de Blue Cross Blue Shield of Arizona, tiene derecho a obtener ayuda e información en su idioma sin costo alguno. Para hablar con un intérprete, llame al 602-864-4884.

Navajo: Díí kwe’ é atah níilígii Blue Cross Blue Shield of Arizona haada yit’éego bína’ídlkidgo éí doodago Háída bìjá aniyeedíílí t’áadoo le’é víná’ídlkidgo bëehaz’ánnii hóół díí t’áa hazaad’ehí háká a’dòowolgo bëe há’á díí boò bááh ilíinígóó. Atá’ halné’iligíi koj’ bichi’ jí’ hodóolíini 877-475-4799.

Chinese: 如果您，或您正在协助的对，有关于插入项目名称 Blue Cross Blue Shield of Arizona 方面的问题，您有权免费以您的母语得到帮助和讯息。洽询一位翻译员，电话 在此插入数字 877-475-4799。

Vietnamese: Nếu quý vị, hay người mà quý vị đang giúp đỡ, có câu hỏi về Blue Cross Blue Shield of Arizona quý vị sẽ có quyền được giúp và có thêm thông tin bằng ngôn ngữ của mình miễn phí. Đè nói chuyển với một thống dịch viên, xin gọi 877-475-4799.

Arabic:

إن كان لديك أو لدى شخص تساعدك أسئلة بخصوص Blue Cross Blue Shield of Arizona الضرورية بلغتك من دون أي تكلفة للتحدث مع مترجم اتصل ب 877-475-4799.
HEMATOPOIETIC CELL TRANSPLANTATION FOR MYELODYSPLASTIC SYNDROMES AND MYELOPROLIFERATIVE NEOPLASMS (cont.)

Multi-Language Interpreter Services:

Tagalog: Kung ikaw, o ang iyong tinituwingan, ay may mga katanungan tungkol sa Blue Cross Blue Shield of Arizona, may karapatan ka na makakuha ng tulong at impormasyon sa iyong wika ng walang gastos. Upang makuasa ang isang tagasalin, tumawag sa 877-475-4799.

Korean: 만약 you or one of your friends has questions about Blue Cross Blue Shield of Arizona, you have the right to help and information in any language for free. To make an interpreter, call 877-475-4799.

French: Si vous, ou quelqu'un que vous êtes en train d'aider, a des questions à propos de Blue Cross Blue Shield of Arizona, vous avez le droit d'obtenir de l'aide et l'information dans votre langue à aucun coût. Pour parler à un interprète, appelez 877-475-4799.

German: Falls Sie oder jemand, dem Sie helfen, Fragen zum Blue Cross Blue Shield of Arizona haben, haben Sie das Recht, kostenlose Hilfe und Informationen in Ihrer Sprache zu erhalten. Um mit einem Dolmetscher zu sprechen, rufen Sie bitte die Nummer 877-475-4799 an.

Russian: Если у вас или лица, которому вы помогаете, имеются вопросы по поводу Blue Cross Blue Shield of Arizona, то вы имеете право на бесплатное получение помощи и информации на вашем языке. Для разговора с переводчиком позвоните по телефону 877-475-4799.

Japanese: ご本人様、またはお客様の身の回りの友でも、Blue Cross Blue Shield of Arizona についてご質問がございましたら、ご希望の言語でサポートを受けたり、情報を入手したりすることができます。料金はかかりません。通訳とお話される場合、877-475-4799 までお電話ください。

Farsi: اگر شما یا کسی دیگر به آموزش یا مشاوره شرکت Blue Cross Blue Shield of Arizona در مورد درخواست های خود مشکل می‌خورید، در مورد اطلاعات به زبان خود را به مکان دریافت تمایید 877-475-4799 تلفنی تماس حاصل کنید.

Assyrian: بدرسه، می‌توانید با Blue Cross Blue Shield of Arizona مّن انتقال صحیح و حیاتی، متعلق به شما هم‌رویی نماید. 877-475-4799

Serbo-Croatian: Ukoliko Vi ili neko kome Vi pomagate ima pitanje o Blue Cross Blue Shield of Arizona, imate pravo da besplatno dobijete pomoć i informacije na Vašem jeziku. Da biste razgovarali sa prevodiocem, nazovite 877-475-4799.

Thai: หากคุณ หรือคุณรู้จักคนมีคำถามเกี่ยวกับ Blue Cross Blue Shield of Arizona คุณมีสิทธิ์ขอความช่วยเหลือและข้อมูลในภาษาของคุณโดยไม่ต้องจ่าย โปรดติดต่อกับโทรศัพท์ 877-475-4799